

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

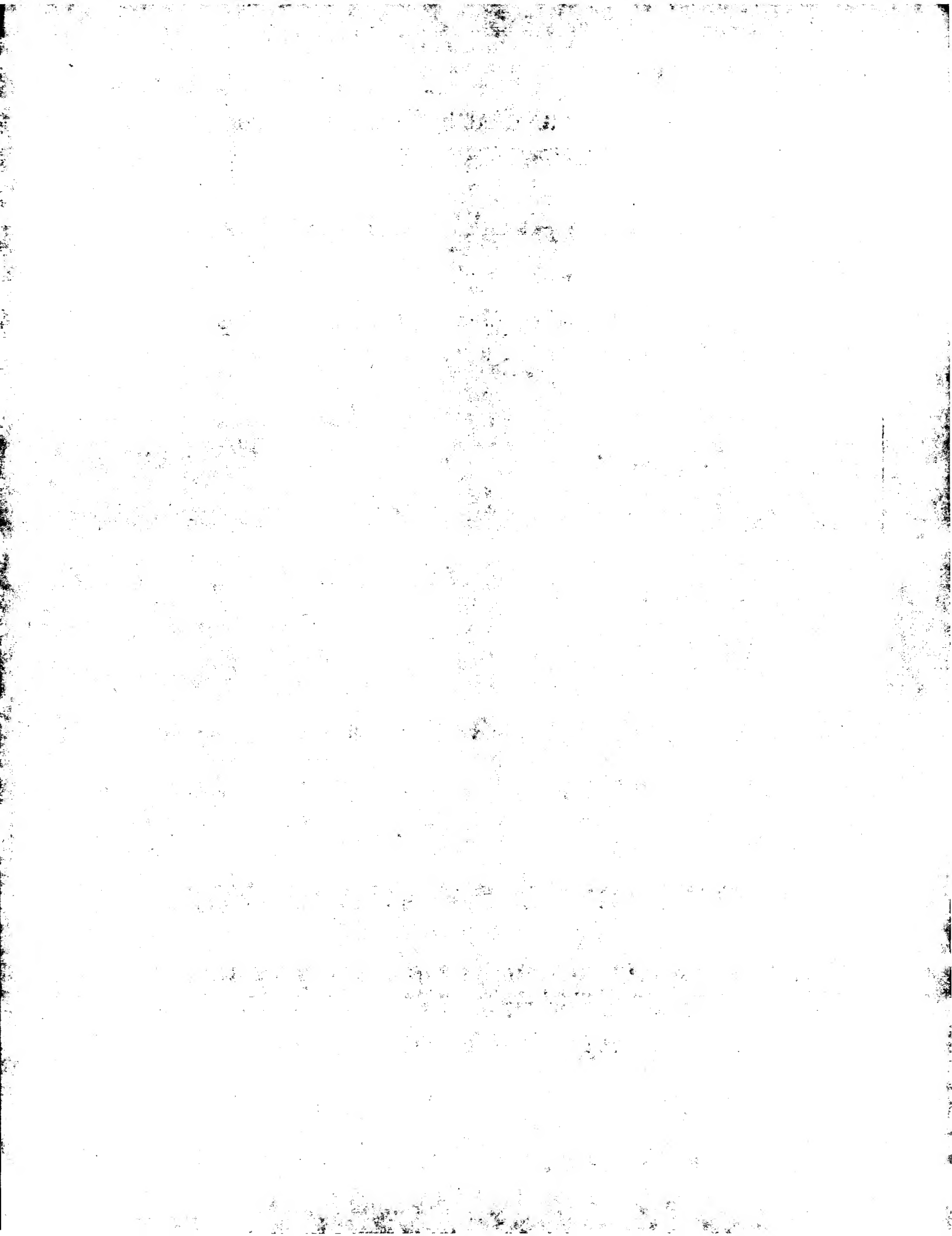
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**





## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>C08K 3/34, 7/00, C08L 77/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/34372</b> <b>(43) International Publication Date:</b> 15 June 2000 (15.06.00)
<b>(21) International Application Number:</b> PCT/US99/28981 <b>(22) International Filing Date:</b> 7 December 1999 (07.12.99)  <b>(30) Priority Data:</b> 60/111,284 7 December 1998 (07.12.98) US  <b>(71) Applicant:</b> EASTMAN CHEMICAL COMPANY [US/US]; 100 North Eastman Road, Kingsport, TN 37660 (US).  <b>(72) Inventors:</b> TURNER, Sam, Richard; 1037 Sussex Drive, Kingsport, TN 37660-5836 (US). CONNELL, Gary, Wayne; 212 Gilda Avenue, Church Hill, TN 37642-3101 (US). MATAYABAS, James, Christopher, Jr.; 3429 Wesley Road, Kingsport, TN 37664-4048 (US). GILMER, John, Walker; 2624 Wildwood Drive, Kingsport, TN 37660-4754 (US). LAN, Tie; 760 Waterford Court, Lake Zurich, IL 60047 (US). PSIHOGIOS, Vasiliki; 828 Willowbrook Drive, Wheeling, IL 60090 (US).  <b>(74) Agents:</b> KATZ, Mitchell, A. et al.; Needle & Rosenberg, P.C., Suite 1200, The Candler Building, 127 Peachtree Street, N.E., Atlanta, GA 30303-1811 (US).		<b>(81) Designated States:</b> AU, BR, CA, CN, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> A HIGH BARRIER AMORPHOUS POLYAMIDE-CLAY NANOCOMPOSITE AND A PROCESS FOR PREPARING SAME  <b>(57) Abstract</b>  This invention is directed to a polyamide-clay nanocomposite, products produced from the nanocomposite, and a process for preparing a polyamide-clay nanocomposite. The polyamide-clay nanocomposite comprises (a) an amorphous matrix polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least two diacids and (ii) a residue of a diamine component, and (b) a layered clay material, wherein the layered clay material is dispersed in the matrix polyamide.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## **A HIGH BARRIER AMORPHOUS POLYAMIDE-CLAY NANOCOMPOSITE AND A PROCESS FOR PREPARING SAME**

### **Related Application**

This application claims priority to provisional patent application Serial No. 60/111,284, filed December 7, 1998, which is incorporated herein by reference in its entirety.

### **Field of the Invention**

This invention relates generally to a nanocomposite comprising at least one high barrier amorphous matrix polymer resin, preferably amorphous polyamide, and a clay material uniformly dispersed therein. This invention also relates to articles produced from the nanocomposite and a process for producing the nanocomposite.

### **Background of the Invention**

Thermoplastic materials are being increasingly used in the packaging of beverages and perishable foods. Plastics are often the material of choice for food and beverage packaging because of their clarity, flexibility, toughness, high gas barrier, light weight, processability and high gloss.

Many high barrier semi-crystalline polyamides exist and one, the polyamide based on *m*-xylylenediamine and adipic acid, is commercially available from Mitsubishi Gas and Chemical Company under the name MXD6. These crystalline polymers have been found to possess high barrier to oxygen and carbon dioxide. Polyamides such as the one based on *m*-xylylenediamine and adipic acid have found utility in packaging oxygen sensitive foodstuffs, especially as the center layer in a tri-layer stretch blow molded container or a tri-layer extruded film.

Amorphous polyamides have been prepared and proposed as polymers for food packaging, offering improved resistance to whitening or hazing upon exposure to moisture, as described in U.S. Patents 5,028,462 and 4,983,719, and offering improved physical properties, as described in U.S. Patent 4,018,746. However, these patents do not disclose or suggest incorporating a clay material into such amorphous polyamide to improve the barrier of the polyamide or an article made therefrom. Further, these patents do not disclose or suggest that haze would be reduced in a composite comprising a clay material and an amorphous polyamide.

The principle of utilizing a layered clay to enhance or improve the properties of a polymer matrix in which the clay has been dispersed is well established. U.S. Patent 4,739,007 describes the use of a composite material comprising a polyamide matrix and well-dispersed silicate layers exhibiting high mechanical strength and excellent high temperature properties. Additional publications describing polymer nanocomposites comprising a polyamide matrix and dispersed layers of silicate include U.S. Patent 4,810,734; German Patent 3808623; J. Inclusion Phenomena 5, (1987), 473-485; Clay Minerals, 23, (1988) 27; Polymer Preprints, 32, (April 1991), 65-66; and Polymer Preprints, 28, (August 1987), 447 - 448.

Multilayer materials for packaging are known for film, bottles, and other containers. Multilayer injection molded preforms described, for example, in European Patent Application 0 278 403 A2 and U.S. Patent 4,398,642, contain an outer thermoplastic layer to impart excellent overall properties to the material and an inner layer of thermoplastic resin possessing excellent gas-barrier properties. Molded containers produced from these multilayer preforms have potential advantages in regards to handling, safety, and the cost of production. However, processing multilayer containers usually involves additional and time-consuming steps.

It is often desirable to include a dispersed clay in an inner or center layer of a multilayer article comprising a high barrier polyamide such as the one based on *m*-xylylenediamine and adipic acid. The use of these clays in matrix polymers of the inner layer a multilayer container may reduce the haze of multilayer structures and improve the appearance of oriented films and molded articles, including bottles.

However, clay particles in a nylon-6 nanocomposite, for example, induce crystallization, as described in U.S. patent 5,385,776. Without being bound by any particular theory, it is believed that the polymer/clay nanocomposite on processing, such as stretching or orientation of the films, leads to very high levels of haze from crystallization of the matrix polymer around the dispersed particles which renders the polymer nanocomposites undesirable for food packaging application. This crystallization phenomena can even lead to void and hole formation in the polymer films, which destroys the usefulness of these compositions in barrier applications.

Thus, there remains a need in the art for an amorphous polyamide nanocomposite having reduced haze. There is also a need for oriented monolayer and/or multilayer structures comprising this amorphous polyamide nanocomposite. Moreover, there is a need for a process capable of introducing clay material having substantially separated platelet particles to an amorphous polyamide to produce a nanocomposite having improved barrier properties and good clarity.

### Summary of the Invention

The invention relates generally to amorphous high barrier polyamides, and more particularly to polyamide nanocomposites comprising a high barrier amorphous polyamide and a clay material. These amorphous polyamides show

unexpected resistance to haze formation, crystallization, and other defect formation in the presence of dispersed, treated or organically modified clays when undergoing orientation and other film processing steps.

5           As embodied and broadly described herein, this invention, in one embodiment, relates to a polyamide-clay nanocomposite comprising (a) an amorphous matrix polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least two diacids and (ii) a residue of a diamine component, and (b) a layered clay material, wherein the layered clay material is  
10           dispersed in the matrix polyamide.

          In another embodiment, this invention relates to a polyamide-clay nanocomposite comprising (a) an amorphous matrix polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least two diacids and  
15           (ii) a residue of a diamine component, and (b) a layered clay material, wherein the layered clay material is dispersed in an amorphous matrix polyamide-compatible oligomeric resin and wherein the clay-oligomer resin dispersion is incorporated into the matrix polyamide.

20           In yet another embodiment, this invention relates to a process for preparing an amorphous polyamide-clay nanocomposite comprising the steps of: (i) melt mixing a clay material with a matrix polymer-compatible oligomeric resin to form an oligomeric resin-clay composite, and (ii) mixing the oligomeric resin-clay composite with a high molecular weight amorphous matrix  
25           polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least two diacids and (ii) a residue of a diamine component, thereby increasing the molecular weight of the oligomeric resin-clay composite and producing a nanocomposite material.

30           In yet another embodiment, this invention relates to a process for preparing an amorphous polyamide-clay nanocomposite comprising the steps



of: (a) adding a clay material to a mixture of (i) a dicarboxylic acid component comprising at least two diacids and (ii) a diamine component of an amorphous polyamide, and (b) conducting the polycondensation polymerization of components (i) and (ii) in the presence of the clay material.

5

Additional advantages of the invention will be set forth in part in the detailed description, including the figures, which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The advantages of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims. It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory of preferred embodiments of the invention, and are not restrictive of the invention, as claimed.

10

15

### **Detailed Description of the Invention**

20

The present invention may be understood more readily by reference to the following detailed description of the invention and the examples provided therein. It is to be understood that this invention is not limited to the specific components, articles, processes and/or conditions described, as these may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting.

25

### **Definitions**

30

It must also be noted that, as used in the specification and the appended claims, the singular forms "a," "an" and "the" include plural referents unless the context clearly dictates otherwise. For example, reference to an "article," "container" or "bottle" prepared from the nanocomposite and process of this

invention is intended to include the processing of a plurality of articles, containers or bottles.

5 Ranges may be expressed herein as from "about" or "approximately" one particular value and/or to "about" or "approximately" another particular value. When such a range is expressed, another embodiment includes from the one particular value and/or to the other particular value. Similarly, when values are expressed as approximations, by use of the antecedent "about," it will be understood that the particular value forms another embodiment.

10

Whenever used in this specification, the terms set forth shall have the following meanings:

15 "Layered clay material," "layered clay," "layered material" or "clay material" shall mean any organic or inorganic material or mixtures thereof, such as a smectite clay mineral, which is in the form of a plurality of adjacent, bound layers. The layered clay comprises platelet particles and is typically swellable.

20 "Platelets," "platelet particles" or "particles" shall mean individual or aggregate unbound layers of the layered material. These layers may be in the form of individual platelet particles, ordered or disordered small aggregates of platelet particles (tactoids), and/or small aggregates of tactoids.

25 "Dispersion" or "dispersed" is a general term that refers to a variety of levels or degrees of separation of the platelet particles. The higher levels of dispersion include, but are not limited to, "intercalated" and "exfoliated."

30 "Intercalated" or "intercalate" shall mean a layered clay material that includes treated or organically modified layered clay material having an increase in the interlayer spacing between adjacent platelets particles and/or tactoids.

“Exfoliate” or “exfoliated” shall mean platelets dispersed mostly in an individual state throughout a carrier material, such as a matrix polymer.

Typically, “exfoliated” is used to denote the highest degree of separation of platelet particles.

“Exfoliation” shall mean a process for forming an exfoliate from an intercalated or otherwise less dispersed state of separation.

“Nanocomposite” shall mean a polymer or copolymer having dispersed therein a plurality of individual platelets obtained from a layered clay material.

“Matrix polyamide” or “matrix polymer” shall mean a thermoplastic or thermosetting amorphous polyamide in which the clay material is dispersed to form a nanocomposite.

#### **Description of the Embodiments**

The invention relates generally to amorphous high barrier matrix polyamides. These amorphous polyamides have been found to show unexpected resistance to haze formation, crystallization, and other defect formation in the presence of dispersed and/or exfoliated organically modified clays when undergoing orientation and/or other film processing steps.

The prior art has defined the degree of separation of clay (platelet particles) based on peak intensity and basal spacing value, or lack of predominant basal spacing, as determined by X-ray analyses of polymer-platelet particle composites. Even though X-ray analysis alone often does not unambiguously predict whether the platelet particles are individually dispersed in the polymer, it can often allow quantification of the level of dispersion achieved. Basal spacing by X-ray diffraction indicates the separation distance

of a platelet in a tactoid rather than single platelets. X-ray diffraction intensity (basal spacing peak height) may correlate to barrier in an article resulting from a nanocomposite including a clay material. For example, a low basal spacing peak height indicates few tactoids; therefore, the remainder must be either individual platelets or tactoids that are disordered.

Moreover, in polymer nanocomposites, X-ray analysis alone does not accurately predict either the dispersion of the platelet particles in the polymer or the resultant gas barrier improvement. TEM images of polymer-platelet composites show that platelet particles which are incorporated into at least one polymer exist in a variety of forms, including, but not limited to, individual platelets (the exfoliated state), disordered agglomerates of platelets, well ordered or stacked aggregates of platelets (tactoids), swollen aggregates of stacked platelets (intercalated tactoids), and aggregates of tactoids.

Without being bound by any particular theory, it is believed that the degree of improved gas barrier (permeability) depends upon the embodiment ratio of the resulting particle platelets and aggregates, the degree to which they are dispersed or uniformly distributed, and the degree to which they are ordered perpendicular to the flux of the permeant.

To obtain the improvements in gas permeability according to the present invention, it is preferable that the platelet particles representative of the bulk of the composite be exfoliated, and preferably be highly exfoliated, in the matrix polymer such that the majority, preferably at least about 75 percent and perhaps as much as at least about 90 percent or more of the platelet particles, be dispersed in the form of individual platelets and small aggregates having a thickness in the shortest dimension of less than about 30 nm and preferably less than about 10 nm, as estimated from TEM images. Polymer-platelet nanocomposites containing more individual platelets and fewer aggregates, ordered or disordered, are most preferred.

Significant levels of incomplete dispersion (i.e., the presence of large agglomerates and tactoids greater than about 30 nm) not only lead to an exponential reduction in the potential barrier improvements attributable to the platelet particles, but also can lead to deleterious affects to other properties inherent to polymer resins such as strength, toughness, and heat resistance, and processability.

Again, without being bound by a particular theory, it is believed that delamination of platelet particles upon melt processing or mixing with a polymer requires favorable free energy of mixing, which has contributions from the enthalpy of mixing and the entropy of mixing. Melt processing clay with polymers results in a negative entropy of mixing due to the reduced number of conformations, which are accessible to a polymer chain when it resides in the region between two layers of clay. It is believed that poor dispersion is obtained using melt-processible polyesters, for example, because the enthalpy of mixing is not sufficient to overcome the negative entropy of mixing. In contrast, generally good dispersions are obtained with polyamides due to their hydrogen bonding character. However, the extent of this dispersion is frequently lessened because of the negative entropy of mixing.

Moreover, as described above, clay particles in a nylon-6 nanocomposite, for example, induce crystallization. Without being bound by any particular theory, it is believed that the polymer/clay nanocomposite on processing, such as stretching or orientation of the films, leads to very high levels of haze from crystallization of the matrix polymer around the dispersed particles which renders the polymer nanocomposites undesirable for food packaging application. This crystallization phenomena can even lead to void and hole formation in the polymer films, which destroys the usefulness of these compositions in barrier applications.

Regarding the present invention, it has been found that processing an amorphous polyamide with a layered clay material gives a good dispersion of platelet particles in a resulting polyamide nanocomposite, creating mostly individual platelet particles. The resulting nanocomposite has improved barrier to gas when formed into a wall or article compared to a neat polyamide formed into the same or similar structure. In addition, these amorphous polyamides have been found to show unexpected resistance to haze formation, crystallization, and other defect formation in the presence of dispersed and/or exfoliated organically modified clays when undergoing orientation and/or other film processing steps.

In one embodiment, this invention relates to a polyamide-clay nanocomposite comprising an amorphous polyamide and up to about 25 weight percent of a mixture of swellable layered clay material, which may in turn be intercalated (organically modified) with an organic cation, preferably an onium ion. The intercalated clay material mixture comprises platelet particles, which are dispersed in the polyamide.

### *Polyamides*

The amorphous polyamides of the present invention may comprise the polycondensation polymerization reaction product (or residue) of a diamine component and at least one dicarboxylic acid component. "Residue," when used in reference to the components of the polyamide of this invention, refers to the moiety that is the resulting product of the chemical species in a particular reaction scheme, or subsequent formulation or chemical product, regardless of whether the moiety is actually obtained from the chemical species.

The dicarboxylic acid component comprises a first diacid and preferably a second diacid. The diacids may be in any ratio of mole percent of the total diacid moieties present in the polyamide. Preferably, one of the diacids is

present in an amount of about 10 to about 90 mole percent of the total diacid moieties present in the polyamide, more preferably of about 45 to about 85 and even more preferably about 50 to about 80 mole percent of the total diacid moieties present in the polyamide.

5

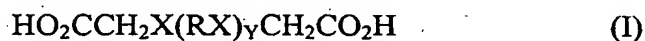
The dicarboxylic acids of this invention include, but are not limited to dicarboxylic acids having from 3 to about 40 carbon atoms, and more preferably dicarboxylic acids selected from aromatic dicarboxylic acids preferably having 8 to 14 carbon atoms, aliphatic dicarboxylic acids preferably having 4 to 12 carbon atoms, and/or cycloaliphatic dicarboxylic acids preferably having 8 to 12 carbon atoms.

10

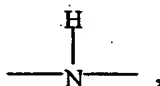
In one embodiment, the diacid component may be defined by formula

(I):

15

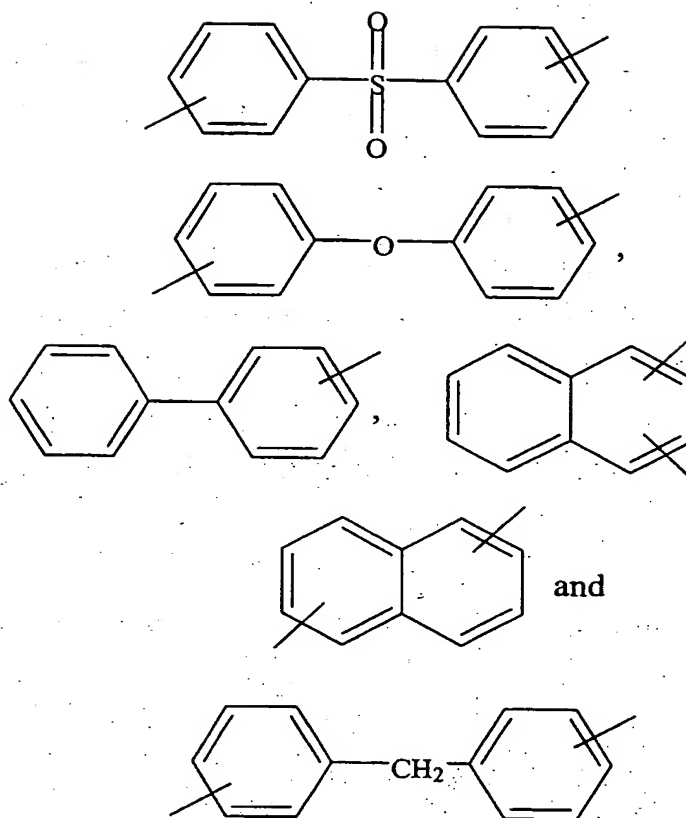


wherein X is —O—, —S—, or



20

Y is 0 or 1, and R is an aromatic moiety comprising 6 to about 13 carbon atoms. Suitable R groups include, but are not limited to the following:



Preferably, in the embodiment as defined by formula (I), the dicarboxylic acid component comprises iminodiacetic acid, oxydiacetic acid, thiodiacetic acid, 1,4-phenylenedioxydiacetic acid, 1,3-phenylenedioxydiacetic acid, etc., or mixtures thereof.

Examples of further suitable dicarboxylic acids include, but are not limited to phthalic acid, isophthalic acid, terephthalic acid, 1,4-cyclohexanedicarboxylic acid, naphthalene-2,6-dicarboxylic acid, cyclohexanediacyetic acid, diphenyl-4,4'-dicarboxylic acid, phenylenedi(oxyacetic acid), sebacic acid, succinic acid, adipic acid, glutaric acid, azelaic acid, and the like.



The diamine component comprises an aliphatic diamine having about 2 to about 12 carbon atoms. The aliphatic diamines may contain aromatic groups, as long as an alkylene group (e.g., a methylene group) is interposed between an amino group and an aromatic ring. The aliphatic diamines also include cycloaliphatic diamines such as piperazine. Examples of suitable aliphatic diamines include, but are not limited to 1,2-ethylenediamine, 1,3-propylenediamine, 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexanebismethylamine, piperazine, *p*-xylylenediamine, *m*-xylylenediamine, or mixtures thereof. The diamine component of this invention preferably comprises *m*-xylylenediamine.

Other diamines or mixtures of diamines can also be used with the preferred diamine (*m*-xylylenediamine) to form the amorphous polyamide. Some representative polyamides of this invention include, but are not limited to those shown in Table 1.

Table 1

Example	Polyamide	I.V.	T <sub>g</sub> (°C)	T <sub>m</sub> (°C)
1	PDA(MX)	0.522	96.7	none
2	PDA-10-TPA(MX)	0.403	101.1	none
3	PDA-10-NDA(MX)	0.390	101.1	none
4	A-19-IPA(MX)	0.828	104.1	none
5	A-18-TPA(MX)	0.778	103.8	none
6	A-18-NDA(MX)	0.798	106.3	none
7	A-19-PIDA(MX)	0.498	109.6	none

The preferred amorphous high barrier matrix polyamides of this invention comprise the reaction product or residue of a dicarboxylic acid component comprising at least two of adipic acid (A), 2,6-naphthalene dicarboxylic acid (NDA), isophthalic acid (IPA), terephthalic acid (TPA), 1,3-phenylenedioxydiacetic acid (PDA), 1,4-cyclohexanedicarboxylic acid

(CHDA), and phenylindane dicarboxylic acid (PIDA), and a diamine component comprising *m*-xylylenediamine (MX). The combination of at least two of the diacids with the diamine effectively disrupts the crystallization tendency of these macromolecules to allow clear transparent amorphous structures to be maintained throughout the processing steps. Using more than one diamine with one diacid may form an amorphous polyamide. Also, using more than one diacid and more than one diamine may form an amorphous polyamide. MX is the preferred diamine due to its high barrier properties.

Referring to Table 1, PDA(MX) means 100 mol% PDA with 100 mol % MX; PDA-10-TPA(MX) means 90 mol% PDA and 10 mol % TPA with 100 mol % MX; PDA-10-NDA(MX) means 90 mol% PDA and 10 mol % NDA with 100 mol % MX; A-19-IPA(MX) means 81 mol% A and 19 mol % IPA with 100 mol % MX; A-18-TPA(MX) means 82 mol% A and 18 mol % TPA with 100 mol % MX; A-18-NDA(MX) means 82 mol% A and 18 mol % NDA with 100 mol % MX; A-18-PIDA(MX) means 82 mol% A and 18 mol % PIDA with 100 mol % MX.

"Amorphous", as used for this invention, means that the polyamide does not show a melting on crystallization peak on a second DSC scan at a rate of 20°C/min. Generally, an amorphous polymer and/or polymer composition is characterized by a high degree of transparency (clarity) and a lack of a sharply defined melting point. The polyamides as shown in Table 1 do not have a defined melting point ( $T_m$ ). After forming a nanocomposite of this invention, some of the compositions may have a minor melting point with  $\Delta H$  less than 0.5 cal/g due to the nucleating effect of the clay, as shown in Example 4.

Although the preferred polyamides are linear or nearly linear, polyamides with other architectures, including branched, star, cross-linked and dendritic structures, may be used if desired.

The polyamides of the present invention exhibit an I.V. of about 0.25 to about 1.5 dL/g, preferably about 0.4 to about 1.2 dL/g, and more preferably of about 0.7 to about 0.9 dL/g. The I.V. is measured at 25°C in a 60/40 by weight mixture in phenol/tetrachloroethane at a concentration of 0.5 grams per 100 ml.

5 Polyamides having an I.V. within the ranges specified above are of sufficiently high molecular weight to be used in the formation of the articles of the present invention.

10 The amorphous polyamides of the present invention are synthesized by methods generally known in the art for producing polyamides. The diamine and diacid components are preferably reacted in approximately stoichiometric quantities. Diacid chlorides, esters, etc., can suitably be used. A solvent may be used in the preparation of the polyamide.

15 Although not necessarily preferred, the polyamides of the present invention may also include suitable additives normally used in polymers. Such additives may be employed in conventional amounts and may be added directly to the reaction forming the polyamide or to the final polymer. Illustrative of such additives known in the art are colorants, pigments, carbon black, glass

20 fibers, fillers, impact modifiers, antioxidants, stabilizers, flame retardants, reheat aids, crystallization aids, acetaldehyde reducing compounds, recycling release aids, oxygen scavengers, plasticizers, nucleators, mold release agents, compatibilizers, and the like, or their combinations.

25 All of these additives and many others and their use are known in the art and do not require extensive discussion. Therefore, only a limited number will be referred to, it being understood that any of these compounds can be used in any combination so long as they do not hinder the present invention from accomplishing its objects.

30

*Clay Materials (Platelet Particles)*

The nanocomposite composition of the present invention comprises less than about 25 weight percent, preferably from about 0.5 to about 20 weight percent, more preferably from about 0.5 to about 15 weight percent, and most preferably from about 0.5 to about 10 weight percent of clay material. The clay material comprises platelet particles. The amount of platelet particles is determined by measuring the amount of silicate residue in the ash of the polymer/platelet composition when treated in accordance with ASTM D5630-94.

Useful clay materials include natural, synthetic, and modified phyllosilicates. Natural clays include smectite clays, such as montmorillonite, saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, magadite, kenyaite, and the like. Synthetic clays include synthetic mica, synthetic saponite, synthetic hectorite, and the like. Modified clays include fluoronated montmorillonite, fluoronated mica, and the like. Suitable clays are available from various companies including Nanocor, Inc., Southern Clay Products, Kunimine Industries, Ltd., and Rheox.

Generally, the layered clay materials useful in this invention are an agglomeration of individual platelet particles that are closely stacked together like cards, in domains called tactoids. The individual platelet particles of the clays preferably have thickness of less than about 2 nm and diameter in the range of about 10 to about 3000 nm.

Preferably, the clays are dispersed in the polyamide so that most of the clay material exists as individual platelet particles, small tactoids, and small aggregates of tactoids. Preferably, a majority of the tactoids and aggregates in the polyamide/clay nanocomposites of the present invention will have thickness in its smallest dimension of less than about 20 nm. Polyamide/clay

nanocomposite compositions with the higher concentration of individual platelet particles and fewer tactoids or aggregates are preferred.

Moreover, the layered clay materials are typically swellable free flowing  
5 powders having a cation exchange capacity from about 0.3 to about 3.0 milliequivalents per gram of mineral (meq/g), preferably from about 0.90 to about 1.5 meq/g, and more preferably from about 0.95 to about 1.25 meq/g. The clay may have a wide variety of exchangeable cations present in the galleries between the layers of the clay, including, but not limited to cations  
10 comprising the alkaline metals (group IA), the alkaline earth metals (group IIA), and their mixtures. The most preferred cation is sodium; however, any cation or combination of cations may be used provided that most of the cations may be exchanged for organic cations (onium ions). The exchange may occur by treating a individual clay or a mixture of clays with organic cations.

15 Preferred clay materials are phyllosilicates of the 2:1 type having a cation exchange capacity of 0.5 to 2.0 meq/g. The most preferred clay materials are smectite clay minerals, particularly bentonite or montmorillonite, more particularly Wyoming-type sodium montmorillonite or Wyoming-type sodium  
20 bentonite having a cation exchange capacity from about 0.95 to about 1.25 meq/g.

Other non-clay materials having the above-described ion-exchange capacity and size, such as chalcogens, may also be used as a source of platelet  
25 particles under the present invention. Chalcogens are salts of a heavy metal and group VIA (O, S, Se, and Te). These materials are known in the art and do not need to be described in detail here.

Improvements in gas barrier result from increases in the concentration of  
30 platelet particles in the polymer. While amounts of platelet particles as low as 0.01 percent provide improved barrier (especially when well dispersed and

ordered), compositions having at least about 0.5 weight percent of the platelet particles are preferred because they display the desired improvements in gas permeability.

5 Prior to incorporation into the polyamide, the particle size of the clay material is reduced in size by methods known in the art, including, but not limited to, grinding, pulverizing, hammer milling, jet milling, and their combinations. It is preferred that the average particle size be reduced to less than 100 micron in diameter, more preferably less than 50 micron in diameter,  
10 and most preferably less than 20 micron in diameter.

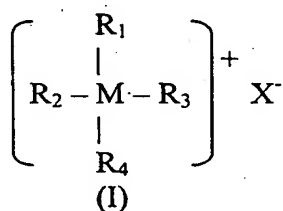
The clay material of this invention may comprise refined but unmodified clays, modified clays or mixtures of modified and unmodified clays. Generally, it is desirable to treat the selected clay material to facilitate separation of the  
15 agglomerates of platelet particles to individual platelet particles and small tactoids. Separating the platelet particles prior to incorporation into the polymer also improves the polymer/platelet interface. Any treatment that achieves the above goals may be used. Many clay treatments used to modify the clay for the purpose of improving dispersion of clay materials are known and may be used  
20 in the practice of this invention. The clay treatments may be conducted prior to, during, or after mixing the clay material with the polyamide.

### *Organic Cations*

25 In an embodiment of this invention, an intercalated layered clay material is prepared by the reaction of a swellable layered clay with an organic cation, preferably an ammonium compound (to effect partial or complete cation exchange). If desired, two or more organic cations may be used to treat a clay. Moreover, mixtures of organic cations may also be used to prepare an  
30 intercalated layered clay material, wherein the intercalated layered clay material in a polyamide nanocomposite comprises a mixture of intercalated clays. The

process to prepare the organoclays (intercalated clays) may be conducted in a batch, semi-batch, or continuous manner.

Organic cations used to intercalate a clay material or a mixture of clay materials of a nanocomposite of this invention are derived from organic cation salts, preferably onium salt compounds. Organic cation salts useful for the nanocomposite and process of this invention may generally be represented by the following formula (I):



wherein M is either nitrogen or phosphorous;  $X^-$  is a halide, hydroxide, or acetate anion, preferably chloride and bromide; and  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are independently organic and/or oligomeric ligands or may be hydrogen.

Examples of useful organic ligands include, but are not limited to, linear or branched alkyl groups having 1 to 22 carbon atoms, aralkyl groups which are benzyl and substituted benzyl moieties including fused-ring moieties having linear chains or branches of 1 to 100 carbon atoms in the alkyl portion of the structure, aryl groups such as phenyl and substituted phenyl including fused-ring aromatic substituents, beta, gamma unsaturated groups having six or less carbon atoms, and alkyleneoxide groups having repeating units comprising 2 to 6 carbon atoms. Examples of useful oligomeric ligands include, but are not limited to poly(alkylene oxide), polystyrene, polyacrylate, polycaprolactone, and the like.

Examples of useful organic cations include, but are not limited to alkyl ammonium ions, such as tetramethyl ammonium, hexyl ammonium, butyl-

ammonium, bis(2-hydroxyethyl) dimethyl ammonium, hexyl benzyl dimethyl ammonium, benzyl trimethyl ammonium, butyl benzyl dimethyl ammonium, tetrabutyl ammonium, di(2-hydroxyethyl) ammonium, and the like, and alkyl phosphonium ions such as tetrabutyl phosphonium, trioctyl octadecyl  
5 phosphonium, tetraoctyl phosphonium, octadecyl triphenyl phosphonium, and the like or mixtures thereof.

Other particularly useful organic cations for this invention include, but are not limited to alkyl ammonium ions such as dodecyl ammonium, octadecyl  
10 trimethyl ammonium, bis(2-hydroxyethyl) octadecyl methyl ammonium, octadecyl benzyl dimethyl ammonium, and the like or mixtures thereof.

Illustrative examples of suitable polyalkoxylated ammonium compounds include the hydrochloride salts of polyalkoxylated amines such as JEFFAMINE  
15 (of Huntsman Chemical), namely, JEFFAMINE-506 and JEFFAMINE 505, and an amine available under the trade name ETHOMEEN (of Akzo Chemie America), namely, ETHOMEEN 18/25, which is octadecyl bis(polyoxyethylene[15])amine, wherein the numbers in brackets refer to the total number of ethylene oxide units. A further illustrative example of a suitable  
20 polyalkoxylated ammonium compound is ETHOQUAD 18/25 (of Akzo Chemie America), which is octadecyl methyl bis(polyoxyethylene[15]) ammonium chloride, wherein the numbers in brackets refer to the total number of ethylene oxide units.

25 Numerous methods to modify layered clays with organic cations are known, and any of these may be used in the practice of this invention. One embodiment of this invention is the organic modification of a layered clay with an organic cation salt by the process of dispersing a layered clay or mixture of clays into hot water, most preferably from 50 to 80°C, adding the organic cation  
30 salt separately or adding a mixture of the organic cation salts (neat or dissolved in water or alcohol) with agitation, then blending for a period of time sufficient



for the organic cations to exchange most of the metal cations present in the galleries between the layers of the clay material(s). Then, the organically modified layered clay material(s) is isolated by methods known in the art including, but not limited to, filtration, centrifugation, spray drying, and their combinations.

It is desirable to use a sufficient amount of the organic cation salt(s) to permit exchange of most of the metal cations in the galleries of the layered particle for the organic cation(s); therefore, at least about 0.5 equivalent of total organic cation salts is used and up to about 3 equivalents of organic cation salts can be used. It is preferred that about 0.5 to 2 equivalents of organic cation salts be used, more preferable about 1.0 to 1.5 equivalents. It is desirable, but not required to remove most of the metal cation salts and most of the excess organic cation salts by washing and other techniques known in the art.

#### *Other Clay Treatments*

The clay may be further treated for the purposes of aiding exfoliation in the composite and/or improving the strength of the polyamide/clay interface. Any treatment that achieves the above goals may be used. Examples of useful treatments include intercalation with water-soluble or water-insoluble polymers, organic reagents or monomers, silane compounds, metals or organometallics, and/or their combinations. Treatment of the clay can be accomplished prior to the addition of a polyamide to the clay material mixture, during the dispersion of the clay with the polyamide or during a subsequent melt blending or melt fabrication step.

Examples of useful pretreatment with polymers and oligomers include those disclosed in U.S. Patents 5,552,469 and 5,578,672, incorporated herein by reference. Examples of useful polymers for treating the clay material include polyvinyl pyrrolidone, polyvinyl alcohol, polyethylene glycol,

polytetrahydrofuran, polystyrene, polycaprolactone, certain water-dispersible polyesters, Nylon-6 and the like.

5        Examples of useful pretreatment with organic reagents and monomers include those disclosed in EP 780,340 A1, incorporated herein by reference. Examples of useful organic reagents and monomers for intercalating the swellable layered clay include dodecylpyrrolidone, caprolactone, caprolactam, ethylene carbonate, ethylene glycol, bishydroxyethyl terephthalate, dimethyl terephthalate, and the like or mixtures thereof.

10        Examples of useful pretreatment with silane compounds include those treatments disclosed in WO 93/11190, incorporated herein by reference. Examples of useful silane compounds includes (3-glycidoxypropyl)trimethoxysilane, 2-methoxy (polyethyleneoxy)propyl  
15        heptamethyl trisiloxane, octadecyl dimethyl (3-trimethoxysilylpropyl) ammonium chloride and the like.

20        If desired, a dispersing aid may be present during or prior to the formation of the composite by melt mixing for the purposes of aiding exfoliation of the treated or untreated swellable layered particles into the polyamide. Many such dispersing aids are known, covering a wide range of materials including water, alcohols, ketones, aldehydes, chlorinated solvents, hydrocarbon solvents, aromatic solvents, and the like or combinations thereof.

25        It should be appreciated that on a total composition basis, dispersing aids and/or pretreatment compounds may account for significant amount of the total composition, in some cases up to about 30 weight percent. While it is preferred to use as little dispersing aid/pretreatment compound as possible, the amounts of dispersing aids and/or pretreatment compounds may be as much as about 8  
30        times the amount of the platelet particles.

*Articles*

The polyamide-clay nanocomposites of this invention may be formed into articles by conventional plastic processing techniques. Molded articles  
5 may be made from the above-described polyamides by compression molding, blow molding, or other such molding techniques, all of which are known in the art. Monolayer and/or multilayer articles prepared from the nanocomposite material of this invention include, but are not limited to film, sheet, pipes, tubes,  
10 profiles, molded articles, preforms, stretch blow molded films and containers, injection blow molded containers, extrusion blow molded films and containers, thermoformed articles and the like. The containers are preferably bottles.

The bottles and containers of this invention provide increased shelf storage life for contents, including beverages and food that are sensitive to the  
15 permeation of gases. Articles, more preferably containers, of the present invention often display a gas transmission or permeability rate (oxygen, carbon dioxide, water vapor) of at least 10% lower (depending on clay concentration) than that of similar containers made from clay-free polymer, resulting in correspondingly longer product shelf life provided by the container. Desirable  
20 values for the sidewall modulus and tensile strength may also be maintained. The articles also show unexpected resistance to haze formation, crystallization, and other defect formation.

The articles may also be multilayered. Preferably, the multilayered  
25 articles have a nanocomposite material disposed intermediate to other layers, although the nanocomposite may also be one layer of a two-layered article. In embodiments where the nanocomposite and its components are approved for food contact, the nanocomposite may form the food contact layer of the desired articles. In other embodiments, it is preferred that the nanocomposite be in a  
30 layer other than the food contact layer.

The multilayer articles may also contain one or more layers of the nanocomposite composition of this invention and one or more layers of a structural polymer. A wide variety of structural polymers may be used. Illustrative of structural polymers are polyesters, polyetheresters, polyamides, polyesteramides, polyurethanes, polyimides, polyetherimides, polyureas, polyamideimides, polyphenyleneoxides, phenoxy resins, epoxy resins, polyolefins, polyacrylates, polystyrene, polyethylene-co-vinyl alcohols (EVOH), and the like or their combinations and blends. The preferred structural polymers are polyesters, such as poly(ethylene terephthalate) and its copolymers.

In another embodiment, co-extruding a layer of the polyamide-clay nanocomposite specified above with some other suitable thermoplastic resin may form articles. The polyamide-clay nanocomposite and the molded article and/or extruded sheet may also be formed at the same time by co-injection molding or co-extruding.

Another embodiment of this invention is the combined use of silicate layers uniformly dispersed in the matrix of a high barrier thermoplastic together with the multilayer approach to packaging materials. By using a layered clay to decrease the gas permeability in the high barrier layer, the amount of this material that is needed to generate a specific barrier level in the end application is greatly reduced.

Since the high barrier material is often the most expensive component in multilayer packaging, a reduction in the amount of this material used can be quite beneficial. With the polyamide-clay nanocomposite layer being sandwiched between two outer polymer layers, the surface roughness is often considerably less than for a monolayer nanocomposite material. Thus, with a multilayer approach, the level of haze may be further reduced.

*Processes*

The amorphous polyamides of this invention may be processed with the clay material in different ways. In one embodiment, a suitable treated or modified sodium montmorillonite or other clay is melt processed or compounded into a high molecular weight version of the high barrier amorphous polyamide resulting in a polyamide-clay nanocomposite material. Melt processing includes melt and extrusion compounding. Use of extrusion compounding to mix the clay and the polymer presents advantages. Chiefly, the extruder is able to handle the high viscosity exhibited by the nanocomposite material. In addition, in a melt mixing approach for producing nanocomposite materials, the use of solvents can be avoided. Low molecular weight liquids can often be costly to remove from the nanocomposite resin.

The nanocomposite may then be directly co-extruded as the center layer in a 3-layer package, either as an injected molded container preform or as an extruded film. Additional processing of stretch blow molding to a container or extruding as a barrier film yields transparent high barrier finished articles.

In another embodiment, the process of this invention comprises (i) preparing a low molecular weight version (oligomer) of the amorphous high barrier polyamide with either amino or diacid end groups by unbalancing the polymerization stoichiometry for the melt phase step-growth polycondensation, and (ii) melt mixing the oligomer with the clay material. The clay material is preferably treated or modified as described above. Such a low molecular weight oligomer is found to be very effective at dispersing an organo or other suitable modified clay, preferably smectic clay, as a concentrate when melt mixed. Desirable values for the I.V. or molecular weight of the oligomer depends on factors including the oligomer and clay selected and is readily determined by those skilled in the art.

The concentrate is then "let-down" in an extruder with MXD6 or one of the amorphous polyamides described above to form a nanocomposite blend that is then co-extruded into a preform as the center layer or into a barrier films, for example. The additional processing step of stretch blow molding into a container yields a transparent high barrier article.

Although any melt mixing device may be used, typically, the melt mixing step is conducted either by a batch mixing process or by a melt compounding extrusion process during which treated or untreated layered particles are introduced into an oligomeric resin. Prior to melt mixing, the treated or untreated layered particles may exist in various forms including pellets, flakes, chips and powder. It is preferred that the treated or untreated layered particles be reduced in size by methods known in the art, such as hammer milling and jet milling. Prior to melt mixing, the oligomeric resin may exist in wide variety of forms including pellets, ground chips, powder or its molten state.

The melt mixing step may also be achieved by dry mixing oligomeric resin with treated or untreated layered particles then passing the mixture through a compounding extruder under conditions sufficient to melt the oligomeric resin.

Further, the melt-mixing step may be conducted by feeding the oligomeric resin and treated or untreated layered particles separately into a compounding extruder. When treated layered particles are used in this process, it is preferred that the oligomeric resin be added first to minimize degradation of treated layered particles.

In yet another embodiment involving the melt mixing of an oligomer, a high concentration of layered particles is melt mixed with oligomeric resin by mixing in a reactor. The resulting composite material is then either chain

extended, polymerized to high molecular weight, or let down in the extruder into a high molecular weight polyamide to obtain the final nanocomposite material.

5           In another embodiment of the melt mixing step, molten oligomeric resin may be fed directly to a compounding extruder along with treated or untreated layered particles to produce the oligomeric resin-clay nanocomposite.

10           The oligomeric resin and the high molecular weight amorphous polyamide may have the same or different repeat unit structure, i.e., may be comprised of the same or different monomer units. Preferably, the oligomeric resin has the same monomer unit to enhance compatibility or miscibility with the high molecular weight amorphous polyamide.

15           If desired, a dispersing aid may be present during or prior to the formation of the composite by melt mixing for the purposes of aiding exfoliation of the treated or untreated swellable layered particles into the polymer. Many such dispersing aids are known covering a wide range of materials including water, alcohols, ketones, aldehydes, chlorinated solvents,  
20           hydrocarbon solvents, aromatic solvents, and the like or combinations thereof.

          A third embodiment of the process of this invention comprises (i) adding the modified clay to a mixture of the diacids and diamines of the desired polyamide and (ii) conducting the polycondensation polymerization in the  
25           presence of the clay. The molecular weight of the polymer material may be increased by any of a number of known approaches or by any combination of these approaches, e.g., chain extension, reactive extrusion, extrusion let-down, solid state polymerization or annealing, annealing under a flow of inert gas, vacuum annealing, let-down in a melt reactor, etc.

30

The resulting nanocomposite can then be processed into the desired barrier film or container with the processing procedures described in the previous embodiments of the process of this invention.

- 5 Polymer nanocomposites produced according to the present invention display a gas permeability, which is at least 10 percent lower than that of the unmodified polymer.

### Examples

10

The following examples and experimental results are included to provide those of ordinary skill in the art with a complete disclosure and description of particular manners in which the present invention can be practiced and evaluated, and are intended to be purely exemplary of the invention and are not intended to limit the scope of what the inventors regard as their invention.

15

Efforts have been made to ensure accuracy with respect to numbers (e.g., amounts, temperature, etc.); however, some errors and deviations may have occurred. Unless indicated otherwise, parts are parts by weight, temperature is in °C or is at ambient temperature, and pressure is at or near atmospheric.

20

#### Example 1

25

This example illustrates the preparation of an amorphous polyamide comprising 1,3-phenylenedioxydiacetic acid (PDA) with *m*-xylylenediamine (MX).

30

To a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet was added 67.8 grams (0.3 moles) of



resorcinol bis(carboxymethyl)ether [(1,3-phenylenedioxy)diacetic acid], 40.8 grams (0.30 moles) *m*-xylylenediamine, and 75.0 (4.17 moles) of water. The flask was purged of any oxygen present by reducing the vacuum to 100 mm and bleeding in nitrogen three times. The flask was immersed in a Belmont metal bath at 130°C and stirred at 100 RPM. After 18 minutes, 20 ml of water was trapped in a condensation receiver and the reaction mixture was a white solid. The stirrer was stopped and the temperature was increased to 220°C. After 8 minutes, the temperature set point was increased to 275°C. After 19 minutes, the temperature was 265°C, melting of the reaction mixture had begun and the stirrer was started at 25 RPM. 50 ml of water had been trapped in a condensation receiver. After 17 minutes, the temperature was 275°C, 2/3 of the reaction mixture had melted and 60 ml of water had been trapped in a condensation receiver. After 8 minutes, almost all of the white solid was melted, the stirrer was increased to 100 RPM and the temperature set point was increased to 280°C. 62 ml of water had been trapped in a condensation receiver. After 5 minutes, all white solid had melted and the temperature was at 280°C. These conditions were held for 28 minutes. The stirring was reduced to 25 RPM and held for 2 minutes. The heat was removed and the polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. Analytical results: I.V.=0.52 dL/g; Tg=96.7°C; Color: L\*=83.45, a\*=0.72, b\*=28.67; NMR confirmed the composition to be PDA(MX). Oxygen permeability of a compression molded film was 0.19 cc-ml/100 in<sup>2</sup>-day-atm

## 25 Example 2

Example 2 illustrates the preparation of a polyamide comprising 90 mol % 1,3-phenylenedioxydiacetic acid (PDA) and 20 mol % terephthalic acid (TPA) with *m*-xylylenediamine (MX).

30

61.02 grams (0.27 moles) of 1,3-phenylenedioxydiacetic acid, 4.98 grams (0.03 moles) of terephthalic acid, 40.8 grams (0.30 moles) *m*-xylylenediamine, and 75.0 g (4.17 moles) of water was added to a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet. The flask was immersed in a Belmont metal bath at 170°C and stirred at 100 RPM. After 8 minutes, 35 ml of water was trapped in a condensation receiver and the reaction mixture was a white solid. The stirrer was stopped and the temperature was increased to 220°C. After 12 minutes, the temperature was increased to 275°C and 52 ml of water was trapped in a condensation receiver. After 7 minutes, the temperature was 263°C, melting of the reaction mixture had begun and the stirrer was started at 25 RPM. 60 ml of water had been trapped in a condensation receiver. After 9 minutes, the temperature was 275°C and 1/3 of the reaction mixture had melted and the stirrer was increased to 50 RPM. 70 ml of water had been trapped in a condensation receiver. After 9 minutes, almost all of the white solid was melted and the stirrer was increased to 100 RPM. 72 ml of water had been trapped in a condensation receiver. After 5 minutes, all the white solid had melted and the stirrer was reduced to 50 RPM. These conditions were held for 30 minutes.

The heat was removed and the polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. The analytical results were: I.V. = 0.40 dL/g;  $T_g = 101.1^\circ\text{C}$ ; Color:  $L^* = 92.90$ ,  $a^* = 3.22$ ,  $b^* = 36.48$ . NMR confirmed the composition of PDA-10-TPA(MX).

### Example 3

This example illustrates the preparation of an amorphous polyamide comprising 90 mole % of 1,3-phenylenedioxydiacetic acid (PDA) and 10 mole % of naphthalenedicarboxylic acid (NDA) with *m*-xylylenediamine (MX).

To a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet was added 61.02 grams (0.27 moles) of resorcinol bis(carboxymethyl)ether [(1,3-phenylenedioxy)diacetic acid], 6.48 grams (0.03 moles) of 2,6-naphthalenedicarboxylic acid, 40.8 grams (0.30 moles) *m*-xylylenediamine, and 75.0 (4.17 moles) of water. The flask was immersed in a Belmont metal bath at 170°C and stirred at 100 RPM. After 10 minutes, the reaction mixture was a white solid and the stirrer was stopped. 22 ml of water had been trapped in a condensation receiver and the temperature set point was increased to 220°C. After 10 minutes, the temperature set point was increased to 275°C and 40 ml of water had been collected in a condensation receiver. After 7 minutes, the temperature was 263°C, melting of the reaction mixture had begun and the stirrer was started at 25 RPM. 50 ml of water had been trapped in a condensation receiver. After 9 minutes, the temperature was 275°C and 1/3 of the reaction mixture had melted. The stirring was increased to 50 RPM and 60 ml of water had been trapped in a condensation receiver. After 9 minutes, almost the entire white solid was melted and the stirring was increased to 100 RPM. 62 ml of water had been trapped in a condensation receiver. After 5 minutes, all the white solid had melted and the stirring was reduced to 50 RPM. These conditions were held for 30 minutes. The heat was removed and the polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. Analytical results: I.V.=0.39 dL/g; Tg=101.1°C; Color: L\*=82.41, a\*=2.23, b\*=36.62; NMR composition = PDA-10-NDA(MX).

#### 25 Example 4

Example 4 illustrates the preparation of an amorphous polyamide comprising 82 mol % adipic acid (A) and 18 mol % isophthalic acid (IPA) with *m*-xylylenediamine (MX).

To a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet was added 46.72 grams (0.32 moles) of adipic acid, 13.28 grams (0.08 moles) of isophthalic acid, 55.5 grams (0.408 moles) of *m*-xylylenediamine, and 75.0 (4.17 moles) of water. The flask was immersed in a Belmont metal bath at 115°C and stirred at 100 RPM. After 8 minutes, there was a clear melt with some white unmelts present. The stirring was increased to 200 RPM. These conditions were held for 18 minutes. The temperature set point was increased to 275°C. After 17 minutes, the bath temperature was 168°C, 40 ml of water had been trapped in a condensation receiver and the reaction mixture was a white solid. The stirrer was stopped. After 9 minutes, the bath temperature was 216°C, melting had begun and the stirrer was started at 25 RPM. After 8 minutes, the stirrer set point was increased to 100 RPM. After 11 minutes, the entire solid had melted and 70 ml of water was trapped in a condensation receiver. The stirrer set point was increased to 200 RPM and the bath temperature was 275°C. Vacuum was applied beginning at 300 mm of mercury with gradual reduction to a set point of 0.3 mm over a period of 5 minutes. The stirrer set point was reduced to 100 RPM. As the viscosity of the melt increased, stirring was gradually reduced over a period of 15 minutes to 25 RPM. After a total vacuum time of 20 minutes, vacuum was increased to atmosphere and the heat was removed. The polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. The analytical results were: I.V. = 0.83 dL/g;  $T_g = 104.1^\circ\text{C}$ ;  $T_m = \text{none}$ ; Color:  $L^* = 82.15$ ,  $a^* = -1.38$ ,  $b^* = 24.15$ . NMR confirmed the composition of A-19-IPA(MX).

#### Example 5

Example 5 illustrates the preparation of a polyamide comprising 82 mol % adipic acid (A) and 18 mol % terephthalic acid (TPA) with *m*-xylylenediamine (MX).

46.72 grams (0.32 moles) of adipic acid, 13.28 grams (0.08 moles) of terephthalic acid, 55.5 grams (0.408 moles) of *m*-xylylenediamine, and 75.0 (4.17 moles) of water was added to a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet. The flask was  
5 immersed in a Belmont metal bath at 115°C and stirred at 100 RPM. After 12 minutes, a white opaque liquid was present and the stirring was increased to 200 RPM. The conditions were held for 18 minutes. The temperature set point was increased to 275°C. After 13 minutes, the bath temperature was 164°C, 30 ml of water had been trapped in a condensation receiver and the reaction mixture  
10 was a white solid. The stirrer was stopped. After 10 minutes, the bath temperature was 275°C, melting had begun and the stirrer was started at 25 RPM. After 16 minutes, some melting had begun and the stirrer set point was increased to 100 RPM. After 7 minutes, the stirring was increased to 200 RPM. After 26 minutes, the entire solid had melted and 65 ml of water was trapped in  
15 a condensation receiver. Vacuum was applied beginning at 300 mm of mercury with gradual reduction to a set point of 0.3 mm over a period of 5 minutes. As the viscosity of the melt increased, stirring was gradually reduced over a period of 13 minutes to 25 RPM. After a total vacuum time of 20 minutes, vacuum was increased to atmosphere and the heat was removed. The polyamide was  
20 allowed to cool, removed from the flask and ground to pass a 3-mm screen. The analytical results were: I.V. = 0.80 dL/g;  $T_g$  = 106.3°C;  $T_m$  = none; Color:  $L^*$  = 83.49,  $a^*$  = -2.49,  $b^*$  = 22.98. NMR composition = A-18-TPA(MX). Oxygen permeability on a compression molded film was 0.17 cc-mil/100 in<sup>2</sup>-day-atm.

25

#### Example 6

This example illustrates the preparation of an amorphous polyamide comprising 82 mole % of adipic acid (A) and 18 mole % of  
30 naphthalenedicarboxylic acid (NDA) with *m*-xylylenediamine (MX).

To a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet was added 46.72 grams (0.32 moles) of adipic acid, 17.28 grams (0.08 moles) of 2,6-naphthalenedicarboxylic acid, 55.5 grams (0.408 moles) of *m*-xylylenediamine, and 75.0 (4.17 moles) of water.

- 5 The flask was immersed in a Belmont metal bath at 115°C and stirred at 100 RPM. After 12 minutes, a white opaque liquid was present and the stirring was increased to 200 RPM. The conditions were held for 18 minutes. The temperature set point was increased to 275°C. After 13 minutes, the bath temperature was 164°C, 30 ml of water had been trapped in a condensation-
- 10 receiver and the reaction mixture was a white solid. The stirrer was stopped. After 10 minutes, the bath temperature was 275°C, melting had begun and the stirrer was started at 25 RPM. After 16 minutes, some melting had begun and the stirrer set point was increased to 100 RPM. After 7 minutes, the stirring was increased to 200 RPM. After 26 minutes the entire solid had melted and 65 ml
- 15 of water was trapped in a condensation receiver. Vacuum was applied beginning at 300 mm of mercury with gradual reduction to a set point of 0.3 mm over a period of 5 minutes. As the viscosity of the melt increased, stirring was gradually reduced over a period of 13 minutes to 25 RPM. After a total vacuum time of 20 minutes, vacuum was increased to atmosphere and the heat was
- 20 removed. The polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. The analytical results were: I.V. = 0.80 dL/g;  $T_g = 106.3^\circ\text{C}$ ;  $T_m = \text{none}$ ; Color:  $L^* = 83.49$ ,  $a^* = -2.49$ ,  $b^* = 22.98$ ; NMR = A-18-NDA(MX). Oxygen permeability on a compression molded film was 0.17 cc-mils/100in<sup>2</sup>-day-atm.
- 25

#### Example 7

- This example illustrates the preparation of an amorphous polyamide comprising 81 mole % of adipic acid (A) and 19 mole % of
- 30 phenylindandicarboxylic acid (PIDA) with *m*-xylylenediamine (MX).

To a 500 ml round bottom flask equipped with a short distillation column, a stirrer, and a nitrogen inlet was added 46.72 grams (0.32 moles) of adipic acid, 25.76 grams (0.08 moles) of 2,6-phenylindandicarboxylic acid, 55.5 grams (0.408 moles) of *m*-xylylenediamine, and 75.0 (4.17 moles) of water.

- 5 The flask was immersed in a Belmont metal bath at 115°C and stirred at 25 RPM. After 14 minutes, foaming was present and the stirring was increased to 300 RPM. The conditions were held for 14 minutes and the temperature set point was increased to 140°C. After 9 minutes, the temperature set point was increased to 150°C and 10 ml of water had been trapped in a condensation
- 10 receiver. After 3 minutes, the bath temperature was increased to 160°C and 12 ml had been trapped in a condensation receiver. After 3 minutes, the bath temperature was 275°C and 15 ml had been trapped in a condensation receiver. After 6 minutes, the bath temperature was 198°C and 21 ml had been trapped in a condensation receiver. Some slight foaming was still present. After 10
- 15 minutes, the bath temperature was 244°C and 35 ml had been trapped in a condensation receiver. The reaction mix was a white thick paste and the stirring was reduced to 25 RPM. After 16 minutes, the bath temperature was 275°C and the reaction mix was ½ melted. After 3 minutes, the reaction mix was ¾ melted and 66 ml had been trapped in a condensation receiver. After 5 minutes, the
- 20 entire paste had melted and vacuum was applied beginning at 500 mm of mercury with gradual reduction to a set point of 0.3 mm over a period of 8 minutes. As the viscosity of the melt increased, stirring was gradually reduced over a period of 12 minutes to 25 RPM. After a total vacuum time of 20 minutes, vacuum was increased to atmosphere and the heat was removed. The
- 25 polyamide was allowed to cool, removed from the flask and ground to pass a 3-mm screen. The analytical results were: I.V. = 0.50 dL/g;  $T_g$  = 109.6°C;  $T_m$  = none; Color:  $L^*$ =78.08,  $a^*$  = -1.33,  $b^*$  = 19.26; NMR composition of = A-19-PIDA(MX).

### Example 8

Example 8 illustrates the preparation of one embodiment of a polyamide-clay nanocomposite of this invention.

5

An amorphous copolyamide prepared as described in Example 5 (1,000 grams of A-20-IPA(MX) with an I.V. of about 0.91 dL/g), and an onium ion-intercalated clay (29.0 grams of CWC-ODA) of Nanocor, Inc., were dry blended and then dried in a vacuum oven with a slight nitrogen sweep at about 75°C for about 16 hours. The mixture was placed into an AccuRate feeder then extruded at a feed rate of about 3.8 kg/hr on a Leistritz Micro 18 corotating twin screw extruder equipped with a general compounding screw at about 300 RPM with the barrel and die temperatures set at 250°C and vacuum ventilation. The extrudate was quenched into water then chopped as it exited the die, to give 807 grams of the copolyamide nanocomposite with I.V. of about 0.90 dL/g and having an ash value of about 1.9 weight percent. Analysis by DSC showed a minor melting endotherm of 0.4 cal/g at about 200°C on the first scan and no melting endotherm was observed on the second scan after cooling at a rate of about 200°C/min.

20

### Example 9

Example 9 illustrates the coextrusion of one embodiment of a polyamide-clay nanocomposite of this invention and poly(ethylene terephthalate) (PET).

25

The copolyamide nanocomposite from Example 8 (about 700 grams) was dried in a vacuum oven with a slight nitrogen sweep at about 75°C for about 16 hours. PET 9921, of Eastman Chemical Company, was dried separately in a hopper drier at about 120°C. Then the copolyamide nanocomposite and the PET 9921 were co-extruded to form a tri-layer film with the copolyamide

30



nanocomposite comprising the center layer with thickness of about 7.0 mils and with the PET 9921 comprising the two outside layers with a total film thickness of about 21 mils. Several 2-inch square pieces of the tri-layer film were biaxially oriented at a stretch ratio of 4x4 using a T. M. Long instrument.

5

The oriented film showed excellent color and clarity, with haze of about 0.6%, L\* of about 94.6, a\* of about -0.9, and b\* of about 0.1. Analysis of the oriented film by optical microscopy revealed very few large particles and almost no voids. Oxygen permeability of the film was determined using a Mocon Oxatran 2/20 to be 0.48 cc-mil/100in<sup>2</sup>-day-atm, which is significantly better than the control sample in Comparative Example 1, and is better than the polyamide nanocomposite in Comparative Example 2.

10

#### Comparative Example 1

15

The process of Example 9 as repeated except that clay-free MXD6 6007, available from Mitsubishi Gas and Chemical Company, was used instead of the copolyamide nanocomposite to give a tri-layer film with total thickness of about 20.5 mils and a center clay-free barrier layer thickness of about 6.0 mils, as extruded.

20

The oriented film showed good color but elevated haze, with haze of about 2.45%, L\* of about 94.7, a\* of about -0.09, and b\* of about -0.1. Oxygen permeability of the film was determined using a Mocon Oxatran 2/20 to be 0.83 cc-mil/100in<sup>2</sup>-day-atm, significantly (0.35 cc-mil/100in<sup>2</sup>-day-atm) higher than the film having the nanocomposite center layer of Example 5.

25

Comparative Example 2

The process of Example 8 as repeated except that MXD6 6007, of Mitsubishi Gas and Chemical Company, was used instead of the copolyamide prepared as described in Example 4

The process of Example 2 as repeated except that (1) the MXD6 nanocomposite was used instead of the copolyamide nanocomposite and (2) PET 20261, of Eastman Chemical Company, was used instead of PET 9921. The tri-layer film had a total thickness of about 21 mils and a center MXD6 nanocomposite layer thickness of about 1.4 mils.

The oriented film showed elevated haze, with haze of about 2.5%. Analysis of the oriented film by optical microscopy revealed numerous large particles and a significant amount of voids. Oxygen permeability of the film was determined using a Mocon Oxatran 2/20 to be 2.81 cc-mil/100in<sup>2</sup>-day-atm.

Example 10

A low molecular weight amine terminated copolyamide is prepared as described in Example 4 except that an excess of the m-xylylenediamine is used, to provide a material with I.V. of about 0.3 dL/g. This material is then used in place of the high I.V. copolyamide in the process of Example 8. After the extrusion is complete, 100 grams of the extrudate pellets are dry-mixed with 300 grams of MXD6 6007 polyamide pellets, of Mitsubishi Gas and Chemical Company. The MXD6 polyamide possessed a molecular weight of  $M_n=10,000$  g/mol,  $M_w=25,000$  g/mol, and  $M_z=40,000$  g/mol. The mixture is then extruded on the Leistritz extruder under the same conditions as used with the clay polymer mixture but at a feed rate of 2.0 to 2.5 kg/hour. The resulting copolyamide nanocomposite is found to provide tri-layer film with excellent appearance and oxygen barrier.

Example 11

The process of Example 4 was repeated except that an onium ion  
intercalated clay (29.0 grams of CWC-ODA) of Nanocor, Inc., was also charged  
5 to the flask prior to the addition of the m-xylylenediamine. The resulting  
copolyamide nanocomposite is found to provide tri-layer film with excellent  
appearance and oxygen barrier.

Throughout this application, various publications are referenced. The  
10 disclosures of these publications in their entireties are hereby incorporated by  
reference into this application in order to more fully describe the state of the art  
to which this invention pertains.

It will be apparent to those skilled in the art that various modifications  
15 and variations can be made in the present invention without departing from the  
scope or spirit of the invention. Other embodiments of the invention will be  
apparent to those skilled in the art from consideration of the specification and  
practice of the invention disclosed herein. It is intended that the specification  
and examples be considered as exemplary only, with a true scope and spirit of  
20 the invention being indicated by the following claims.

**What is claimed is:**

1. A polyamide-clay nanocomposite comprising:
  - (a) an amorphous matrix polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least one diacid and (ii) a residue of at least one diamine component, and
  - (b) a layered clay material, wherein the clay material is dispersed in the matrix polyamide.
2. The nanocomposite of claim 1, wherein component (i) comprises a dicarboxylic acid selected from the group consisting of an aromatic dicarboxylic acid having 8 to 14 carbon atoms, an aliphatic dicarboxylic acid having 4 to 12 carbon atoms, and a cycloaliphatic dicarboxylic acid having 8 to 12 carbon atoms.
3. The nanocomposite of claim 1, wherein component (i) comprises phthalic acid, isophthalic acid, terephthalic acid, 1,4-cyclohexanedicarboxylic acid, naphthalene-2,6-dicarboxylic acid, cyclohexanediacetic acid, diphenyl-4,4'-dicarboxylic acid, sebacic acid, succinic acid, adipic acid, glutaric acid, azelaic acid, 1,3-phenylenedioxydiacetic acid, iminodiacetic acid, oxydiacetic acid, thiodiacetic acid, 1,4-phenylenedioxydiacetic acid or phenylindane dicarboxylic acid.
4. The nanocomposite of claim 1, wherein component (i) comprises adipic acid, naphthalene-2,6-dicarboxylic acid, isophthalic acid, terephthalic acid, 1,3-phenylenedioxydiacetic acid, 1,4-cyclohexanedicarboxylic acid, or phenylindane dicarboxylic acid.

5. The nanocomposite of claim 1, wherein component (ii) comprises 1,2-ethylenediamine, 1,3-propylenediamine, 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexanebismethylamine, piperazine, *p*-xylylenediamine, *m*-xylylenediamine, or a mixture thereof.
6. The nanocomposite of claim 1, wherein component (ii) comprises *m*-xylylenediamine.
7. The nanocomposite of claim 1, comprising greater than zero to about 25 weight percent of the layered clay material.
8. The nanocomposite of claim 1, comprising from about 0.5 to about 15 weight percent of the layered clay material.
9. The nanocomposite of claim 1, wherein the layered clay material comprises montmorillonite, saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, magadite, kenyaite, or a mixture thereof.
10. The nanocomposite of claim 1, wherein the layered clay material comprises Wyoming-type sodium montmorillonite or Wyoming-type sodium bentonite.
11. The nanocomposite of claim 1, wherein the layered clay material is a free flowing powder having a cation exchange capacity from about 0.9 to about 1.5 meq/g.
12. The nanocomposite of claim 1, wherein at least 50 percent of the layered clay material is dispersed in the form of individual platelet particles and tactoids having a thickness of less than or equal to 30 nm in the amorphous matrix polyamide.

13. The nanocomposite of claim 1, wherein the clay material is treated with a water soluble or insoluble polymer, an organic reagent or monomer, a silane compound, a metal, an organometallic, an organic cation to effect cation exchange, or a combination thereof.
14. The nanocomposite of claim 13, wherein the organic cation is derived from an onium salt compound.
15. The nanocomposite of claim 14, wherein the onium salt compound comprises an ammonium salt compound, a phosphonium salt compound or a mixture thereof.
16. The nanocomposite of claim 13, wherein the organic cation comprises an alkyl ammonium ion, an alkyl phosphonium ion, a polyalkoxylated ammonium ion, or a mixture thereof.
17. The nanocomposite of claim 1, wherein component (i) comprises adipic acid, naphthalene-2,6-dicarboxylic acid, isophthalic acid, terephthalic acid, 1,3-phenylenedioxydiacetic acid, 1,4-cyclohexanedicarboxylic acid, or phenylindane dicarboxylic acid, component (ii) comprises *m*-xylylenediamine and the layered clay material comprises Wyoming-type sodium montmorillonite or Wyoming-type sodium bentonite treated with an organic cation to effect cation exchange.
18. An article prepared from the nanocomposite of claim 1.
19. The article of claim 18 in the form of film, sheet, pipe, fiber, an extruded article, a molded article or a molded container.
20. The article of claim 18 in the form of a bottle.
21. The article of claim 18, having a gas permeability which is at least 10 percent lower than that of an article formed from a clay-free polyamide.

22. An article having a plurality of layers wherein at least one layer is formed from the nanocomposite of claim 1.
23. The article of claim 22, wherein the nanocomposite is disposed intermediate to two other layers.
24. The article of claim 22, having one or more layers of a structural polymer.
25. A polyamide-clay nanocomposite comprising:
  - (a) an amorphous matrix polyamide comprising (i) a residue of at least one dicarboxylic acid component and (ii) a residue of at least one diamine component, and
  - (b) a layered clay material, wherein the clay material is dispersed in an amorphous matrix polyamide-compatible oligomeric resin and wherein the clay-oligomer resin dispersion is incorporated into the amorphous matrix polyamide.
26. The nanocomposite of claim 25, wherein the oligomeric resin and the high molecular weight matrix polyamide have the same monomer unit.
27. The nanocomposite of claim 25, wherein component (i) comprises phthalic acid, isophthalic acid, terephthalic acid, 1,4-cyclohexanedicarboxylic acid, naphthalene-2,6-dicarboxylic acid, cyclohexanediacyetic acid, diphenyl-4,4'-dicarboxylic acid, sebacic acid, succinic acid, adipic acid, glutaric acid, azelaic acid, 1,3-phenylenedioxydiacyetic acid, iminodiacyetic acid, oxydiacyetic acid, thiodiacyetic acid, 1,4-phenylenedioxydiacyetic acid or phenylindane dicarboxylic acid.

28. The nanocomposite of claim 25, wherein component (i) comprises adipic acid, naphthalene-2,6-dicarboxylic acid, isophthalic acid, terephthalic acid, 1,3-phenylenedioxydiacetic acid, 1,4-cyclohexanedicarboxylic acid, or phenylindane dicarboxylic acid.
29. The nanocomposite of claim 25, wherein component (ii) comprises 1,2-ethylenediamine, 1,3-propylenediamine, 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexanebismethylamine, piperazine, *p*-xylylenediamine, *m*-xylylenediamine, or mixtures thereof.
30. The nanocomposite of claim 25, wherein component (ii) comprises *m*-xylylenediamine.
31. The nanocomposite of claim 25, comprising greater than zero to about 25 weight percent of the layered clay material.
32. The nanocomposite of claim 25, wherein the layered clay material comprises montmorillonite, saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, magadite, kenyaite, or a mixture thereof.
33. The nanocomposite of claim 25, wherein the layered clay material comprises Wyoming-type sodium montmorillonite or Wyoming-type sodium bentonite.
34. The nanocomposite of claim 25, wherein the layered clay material is treated with a water soluble or insoluble polymer, an organic reagent or monomer, a silane compound, a metal, an organometallic, an organic cation to effect cation exchange, or a combination thereof.
35. The nanocomposite of claim 34, wherein the organic cation is derived from an onium salt compound.



36. The nanocomposite of claim 35, wherein the onium salt compound comprises an ammonium salt compound, a phosphonium salt compound or a mixture thereof.
37. The nanocomposite of claim 25, wherein component (i) comprises adipic acid, naphthalene-2,6-dicarboxylic acid, isophthalic acid, terephthalic acid, 1,3-phenylenedioxydiacetic acid, 1,4-cyclohexanedicarboxylic acid, or phenylindane dicarboxylic acid, component (ii) comprises *m*-xylylenediamine, the layered clay material comprises Wyoming-type sodium montmorillonite or Wyoming-type sodium bentonite treated with an organic cation to effect cation exchange, and the oligomeric resin and the high molecular weight matrix polyamide have the same monomer unit.
38. An article prepared from the nanocomposite of claim 25.
39. The article of claim 38 in the form of film, sheet, pipe, fiber, an extruded article, a molded article or a molded container.
40. The article of claim 38 in the form of a bottle.
41. A process for preparing an amorphous polyamide-clay nanocomposite comprising the steps of:
  - (a) melt mixing a layered clay material with a matrix polyamide-compatible oligomeric resin to form an oligomeric resin-clay composite, and
  - (b) mixing the oligomeric resin-clay composite with a high molecular weight amorphous matrix polyamide comprising (i) a residue of at least one dicarboxylic acid component and (ii) a residue of at least one diamine component, thereby increasing the molecular weight

of the oligomeric resin-clay composite and producing a nanocomposite material.

42. The process of claim 41, wherein step (b) is conducted by a batch mixing or a melt compounding extrusion process.
43. The process of claim 41, wherein the oligomeric resin and the high molecular weight amorphous matrix polyamide have the same monomer units.
44. The process of claim 41, wherein the oligomeric resin is an oligomeric polyamide.
45. A nanocomposite material produced by the process of claim 41.
46. An article prepared from the nanocomposite material of claim 45.
47. The article of claim 46 in the form of film, sheet, fiber, an extruded article, a molded article, or a molded container.
48. The article of claim 46 in the form of a bottle.
49. The article of claim 46 having a gas permeability that is at least 10 percent lower than that of unmodified polymer.
50. A process for preparing an amorphous polyamide-clay nanocomposite comprising the steps of:
  - (a) adding a layered clay material to a mixture of (i) a at least one dicarboxylic acid component and (ii) a diamine component of an amorphous polyamide, and

(b) conducting the polycondensation polymerization of components (i) and (ii) in the presence of the clay material.

51. The process of claim 50, wherein component (i) comprises adipic acid, naphthalene-2,6-dicarboxylic acid, isophthalic acid, terephthalic acid, 1,3-phenylenedioxydiacetic acid, 1,4-cyclohexanedicarboxylic acid, or phenylindane dicarboxylic acid.
52. The process of claim 50, wherein component (ii) comprises 1,2-ethylenediamine, 1,3-propylenediamine, 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexanebismethylamine, piperazine, *p*-xylylenediamine, *m*-xylylenediamine, or a mixture thereof.
53. The process of claim 50, wherein component (ii) comprises *m*-xylylenediamine.
54. The process of claim 50, wherein the layered clay material comprises montmorillonite, saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, magadite, kenyaite, or a mixture thereof.
55. The process of claim 50, wherein the layered clay material comprises Wyoming-type sodium montmorillonite or Wyoming-type sodium bentonite.
56. The process of claim 50, wherein the layered clay material is treated with a water soluble or insoluble polymer, an organic reagent or monomer, a silane compound, a metal, an organometallic, an organic cation to effect cation exchange, or a combination thereof.

57. The process of claim 56, wherein the organic cation is derived from an onium salt compound.
58. The process of claim 57, wherein the onium salt compound comprises an ammonium salt compound, a phosphonium salt compound or a mixture thereof.
59. A nanocomposite material produced by the process of claim 50.
60. An article prepared from the nanocomposite material of claim 59.
61. A polyamide-clay intercalate comprising a layered clay material intercalated with an amorphous polyamide comprising (i) a residue of a dicarboxylic acid component comprising at least one diacid and (ii) a residue of at least one diamine component.
62. The intercalate of claim 61, wherein component (i) comprises a dicarboxylic acid selected from the group consisting of an aromatic dicarboxylic acid having 8 to 14 carbon atoms, an aliphatic dicarboxylic acid having 4 to 12 carbon atoms, and a cycloaliphatic dicarboxylic acid having 8 to 12 carbon atoms.
63. The intercalate of claim 61, wherein component (i) comprises a dicarboxylic acid selected from the group consisting of phthalic acid; isophthalic acid; terephthalic acid; 1,4-cyclohexanedicarboxylic acid; naphthalene-2,6-dicarboxylic acid; cyclohexanediacyetic acid; diphenyl-4,4'-dicarboxylic acid; sebacic acid; succinic acid; adipic acid; glutaric acid; azelaic acid; 1,3-phenylenedioxydiacyetic acid; iminodiacyetic acid; oxydiacyetic acid; thiodiacyetic acid; 1,4-phenylenedioxydiacyetic acid; phenylindane dicarboxylic acid; and mixtures thereof.

64. The intercalate of claim 61, wherein component (i) comprises a dicarboxylic acid selected from the group consisting of adipic acid; naphthalene-2,6-dicarboxylic acid; isophthalic acid; terephthalic acid; 1,3-phenylenedioxydiacetic acid; 1,4-cyclohexanedicarboxylic acid; phenylindane dicarboxylic acid; and mixtures thereof.
65. The intercalate of claim 61 wherein component (ii) comprises 1,2-ethylenediamine, 1,3-propylenediamine, 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexanebismethylamine, piperazine, p-xylylenediamine, m-xylylenediamine, or a mixture thereof.
66. The intercalate of claim 61, wherein component (ii) comprises m-xylylenediamine.
67. The intercalate of claim 61, wherein about 5% to about 85% by weight of the polyamide is intercalate into the layered clay material.
68. The intercalate of claim 61, wherein about 30% to about 50% by weight of the polyamide is intercalate into the layered clay material.
69. The intercalate of claim 61, wherein the layered clay material comprises montmorillonite, saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, madadite, kenyaite, or a mixture thereof.
70. The intercalate of claim 61, wherein the layered clay material comprises a smectite clay.
71. The intercalate of claim 61, wherein the layered clay material is a free flowing powder having a cation exchange capacity from about 0.9 to about 1.5 meq/g, and is selected from the group consisting of sodium

montmorillonite, sodium bentonite, calcium montmorillonite, calcium bentonite, and mixtures thereof.

72. The intercalate of claim 61, wherein the intercalate is sheared to form a plurality of individual clay platelet particles and tactoids having a thickness of less than or equal to 30 nm.
73. The intercalate of claim 61, wherein the clay material is treated with a water soluble or insoluble polymer, an organic reagent or monomer, a silane compound, a metal, an organometallic, an organic cation to effect cation exchange, or a combination thereof.
74. The intercalate of claim 73, wherein the organic cation is derived from an onium salt compound.
75. The intercalate of claim 74, wherein the onium salt compound is selected from the group consisting of an ammonium salt compound, a phosphonium salt compound, and a mixture thereof.
76. The intercalate of claim 73, wherein the organic cation is selected from the group consisting of an alkyl ammonium ion; an alkyl phosphonium ion; a polyalkoxylated ammonium ion; and a mixture thereof.
77. The intercalate of claim 61, where component (i) is selected from the group consisting of adipic acid; naphthalene-2,6-dicarboxylic acid; isophthalic acid; terephthalic acid; 1,3-phenylenedioxydiacetic acid; 1,4-cyclohexanedicarboxylic acid; phenylindane dicarboxylic acid; and a mixture thereof, component (ii) comprises m-xylylenediamine and the layered clay material is selected from the group consisting of sodium montmorillonite, sodium bentonite, and a mixture thereof, treated with an organic cation to effect cation exchange.

78. An exfoliate comprising a plurality of individual platelets obtained by shearing the intercalate of claim 61.
79. The intercalate of claim 61, capable of admixture with a matrix polymer of component (ii) to form a nanocomposite having a gas permeability which is at least 10 percent lower than that of an article formed from a clay-free polyamide.
80. A polyamide-clay intercalate comprising a layered clay material, wherein the clay material is intercalated with an amorphous matrix polyamide-compatible oligomeric resin comprising (i) a residue of at least one dicarboxylic acid component and (ii) a residue of at least one diamine component.
81. A process for preparing an amorphous polyamide-clay intercalate comprising the steps of melt mixing a layered clay material with a polyamide-compatible oligomeric resin comprising (i) a residue of at least one dicarboxylic acid component and (ii) a residue of at least one diamine component to form an oligomeric resin-clay intercalate.
82. The process of claim 81, conducted by a batch mixing or a melt compounding extrusion process.
83. The process of claim 81, wherein the oligomeric resin is an oligomeric polyamide.
84. An intercalate material produced by the process of claim 81.
85. The intercalate of claim 81, which when added to a high molecular weight amorphous matrix polyamide, produces a nanocomposite having

a gas permeability that is at least 10 percent lower than that of unmodified polymer.

86. A process for preparing an amorphous polyamide-clay intercalate comprising the steps of:
- (a) adding a layered clay material to a mixture of (i) at least one dicarboxylic acid component and (ii) a diamine component of an amorphous polyamide to intercalate the dicarboxylic acid and diamine components between adjacent layers of the layered clay material, and
  - (b) conducting the polycondensation polymerization of components (i) and (ii) while intercalated.
87. The process of claim 86, wherein component (i) is selected from the group consisting of adipic acid; naphthalene-2,6-dicarboxylic acid; isophthalic acid; terephthalic acid; 1,3-phenylenedioxycarboxylic acid; 1,4-cyclohexanedicarboxylic acid; and phenylindane dicarboxylic acid.
88. The process of claim 86, wherein component (ii) is selected from the group consisting of 1,2-ethylenediamine; 1,3-propylenediamine; 1,6-hexamethylenediamine, 1,12-dodecylenediamine, 1,4-cyclohexane-bis(methylamine), piperazine; p-xylylenediamine, m-xylylenediamine; and a mixture thereof.
89. The process of claim 86, wherein component (ii) comprises m-xylylenediamine.
90. The process of claim 86, wherein the layered clay material comprises a clay material selected from the group consisting of montmorillonite,



saponite, hectorite, mica, vermiculite, bentonite, nontronite, beidellite, volkonskoite, magadite, kenyaite, and a mixture thereof.

91. The process of claim 86, wherein the layered clay material is selected from the group consisting of sodium montmorillonite, sodium bentonite, and a mixture thereof.
92. The process of claim 86, wherein the layered clay material is contacted with a material selected from the group consisting of a polymer; an organic reagent; an organic monomer; a silane compound; a metal; an organometallic cation; an organic cation; and a combination thereof.
93. The process of claim 92, wherein the organic cation is derived from an onium salt compound.
94. The process of claim 93, wherein the onium salt compound is selected from the group consisting of a ammonium salt compound; a phosphonium salt compound; and a mixture thereof.
95. An intercalate material produced by the process of claim 86.
96. An exfoliate including a plurality of individual platelets formed by shearing the intercalate produced by the process of claim 86.

## INTERNATIONAL SEARCH REPORT

Int. Application No.

PCT/US 99/28981

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08K3/34 C08K7/00 C08L77/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08K C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 93 04118 A (ALLIED SIGNAL INC) 4 March 1993 (1993-03-04) page 26, line 31 -page 27, line 11; claims 1,3; example 4	1-96
A	US 5 385 776 A (MAXFIELD MACRAE ET AL) 31 January 1995 (1995-01-31) cited in the application claim 1; examples 1-9	1
X	US 5 578 672 A (BEALL GARY W ET AL) 26 November 1996 (1996-11-26) cited in the application column 16, line 15 - line 17; claims 1,30 column 17, line 57 -column 18, line 27 -/-	1-96

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

Date of the actual completion of the international search

24 March 2000

Date of mailing of the international search report

04/04/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentkan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Hoffmann, K

# INTERNATIONAL SEARCH REPORT

Int. Patent Application No.

PCT/US 99/28981

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	EP 0 940 430 A (UNITIKA LTD) 8 September 1999 (1999-09-08) paragraph '0019!; <u>claim 1</u>	1-60

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/US 99/28981

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9304118	A	04-03-1993	AT 159270 T	15-11-1997
			CA 2115255 A	04-03-1993
			DE 69222773 D	20-11-1997
			DE 69222773 T	12-02-1998
			EP 0598836 A	01-06-1994
			JP 2674720 B	12-11-1997
			JP 6504810 T	02-06-1994
			WO 9304117 A	04-03-1993
			US 5747560 A	05-05-1998
US 5385776	A	31-01-1995	CA 2148913 A	26-05-1994
			EP 0668888 A	30-08-1995
			JP 8503250 T	09-04-1996
			WO 9411430 A	26-05-1994
US 5578672	A	26-11-1996	CA 2178398 A	08-12-1996
			EP 0747323 A	11-12-1996
			JP 9020514 A	21-01-1997
			US 5721306 A	24-02-1998
			US 5837763 A	17-11-1998
			US 5760121 A	02-06-1998
			US 5844032 A	01-12-1998
			US 5849830 A	15-12-1998
			US 5877248 A	02-03-1999
EP 0940430	A	08-09-1999	JP 11315204 A	16-11-1999